

FORM PTO-1000  
(Rev. 8-83)  
GPO 100-0651-0011 (Rev. 4-91)

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark

Tab settings in 17 10 1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Michael Alexandrovich, Stephen O'Brien  
and Richard B. Bylsma

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Mortgage

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: 03/30/00 and 04/05/00

2. Name and address of receiving party(ies)

Name: Lucent Technologies, Inc.

Internal Address: \_\_\_\_\_

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07906

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 03/30/00 and 04/05/00

A. Patent Application No.(s)

B. Patent No.(s)

04/05/00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jean-Marc Zimmerman, Esq.

Internal Address: \_\_\_\_\_

Street Address: 226 St. Paul Street

City: Westfield State: NJ ZIP: 07020

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jean-Marc Zimmerman  
Name of Person Signing

Jean-Marc Zimmerman  
Signature

3/3/00

Date

Total number of pages including cover sheet, attachments, and document: 5

Alexandrovich 1-12-9

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments

Alexandrovich 1-12-9

**ASSIGNMENT AND AGREEMENT**

For value received, we, Michael J. Alexandrovich of Allentown in the County of Lehigh and State of Pennsylvania, Stephen O'Brien of Lower Macungie Township in the County of Lehigh and State of Pennsylvania and Richard B. Bylsma of Allentown in the County of Lehigh and State of Pennsylvania hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, USA, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to a Fabry-Perot Stepped Etalon With Improved Transmittance Characteristics described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

Alexandrovich 1-12-9



Richard B. Bylsma



Date: March 30, 2000

United States of America )

State of Pennsylvania ) ss.:

County of Lehigh

On this 5<sup>th</sup> day of April, 2000 (dm)  
~~November, 1999~~, before me personally came Richard  
B. Bylsma, to me known to be the individual described in and who executed the  
foregoing instrument, and acknowledged execution of the same.

  
Notary Public

NOTARIAL SEAL  
DOREEN M. MICHELETTI, Notary Public  
Breinigsville, Lehigh County  
My Commission Expires Oct. 30, 2000